

CURRICULUM VITAE

Name: Albert E. Dien

Education: Washington University, St. Louis, 1944-45
University of Chicago, 1945-49
University of California, Berkeley, 1949-62

Degrees: A.B., University of California, 1950
M.A., University of California, 1951
Ph.D., University of California, 1962

Present Position Professor Emeritus, Stanford University, 1993-

Related Employment Assistant Professor of Chinese and Curator of Chinese Materials, Oriental Library, University of Hawaii, 1960-62
Director, Stanford Center for Chinese Studies, Taipei, 1962-63
Director, Inter-University Program for Chinese Language Studies, Taipei, 1963-64
Asst. Professor of Chinese, Stanford Univ., 1962-66
Assoc. Professor of Chinese, Columbia Univ., 1966-68
Professor of Chinese, Stanford University, 1968-1993
Director, Stanford East Asia NDEA Center, 1969-73
Director, Center for East Asian Studies, 1976-77
Acting Chairman, Asian Languages Dept., 1971-72
Director, Joint East Asian Language and Area Center, 1973-83
Director, Stanford East Asian National Resource Center, 1973-1987
Director, Center for East Asian Studies, 1983-1987
Director, Stanford East Asian National Resource Center, 1990-1993.

Awards: Inter-University Fellowship for Field Training in Chinese, 1956-57, Taiwan
Ford Foundation Fellowship, 1957-59, Taiwan and Berkeley
Woodrow Wilson Foundation Fellowship in Oriental Languages,

1959-60

American Council of Learned Societies Fellowship, 1968-69,
Japan

Fulbright Visiting Lectureship, Spring, 1975, University of
Copenhagen

International Research and Exchanges Board grant, 1976, USSR
Fulbright-Hays Faculty Research Abroad Program grant, 1976,
USSR

American Council of Learned Societies Fellowship, 1976, Japan
and Taiwan

Award for Distinguished Lifetime Achievement in Teaching,
Stanford University, 2009

Distinguished Alumnus Award, National Taiwan Normal University,
June 4, 2010

Positions in Professional Organizations:

Member, Committee on American Library Resources on the Far
East, 1961-63

Member, Executive Board, Chinese Language Teachers Association,
1967-69

Treasurer, Chinese Language Teachers Assoc., 1967-68

Secretary-Treasurer, Western Branch, American Oriental Society,
1970-73

Member, Executive Committee, Association for Asian Studies, 1973-75

Member, Board of Directors, American Oriental Society, 1974-75

Chairman, China and Inner Asian Regional Council, Association for
Asian Studies, 1973-75

President, Western Branch, American Oriental Society, 1974-75

Member, Committee for the Study of Chinese Civilization,
1976-79

Chairman, Subcommittee of Six Dynasties Studies, CSCC,
1976-79

Member, Executive Board, Chinese Language Teachers Association,
1980-83

Chairman, Committee on Testing and Materials Development, Chinese
Language Teachers Assoc., 1981-84

Chairman of East Asia Sectional Committee, American Oriental Society,
1984-87

Member, Board of Directors, American Oriental Society, 1984-87

Treasurer, Sino-Judaic Institute, 1985-89

President, Sino-Judaic Institute, 1989-2007

Editor, *Sino-Judaica*, Publication of the Sino-Judaic Institute, 1985-2007

Convener, Early Medieval China Group, 1986-1992

Convener, Chinese History and Archaeology Network Group, 1988-1992.

Advisory and Consulting Activities:

Member, Applied Linguistics Delegation, CSCPRC, to PRC, October, 1977

Member, Chinese Language Teachers Delegation, Department of Education, to Beijing, Sept., 1983

Consultant, Chinese Language Proficiency Test Project, Center for Applied Linguistics, 1981-83

Consultant, Chinese Language Committee, Language Proficiency Project, American Council on the Teaching of Foreign Languages, 1983

Member, Advisory Committee, Project for Computerized Instruction in Chinese and Japanese, University of Michigan, 1984

Member, Advisory Board, Pacific Heritage Museum

Member, Advisory Board, Chinese Culture Foundation, San Francisco

Evaluator, Joint Committee on Chinese Studies, American Council of Learned Societies

Field Reader, International Research and Studies Program, Department of Education

Consultant, Area Studies Program Review, State University System of Florida, Jan., 1986.

Member, Executive Committee, Center for Buddhist Studies, Stanford University

Adviser, Society for Asian Art, Asian Art Museum, San Francisco

Advisory Committee Conference Participant, Monks and Merchants: Silk Road Art from Northwest China, 3rd-7th Century. Asia Society, New York. Nov. 2001-Jan. 2002

Consultant, Chinese Dynasties Unit, Stanford Program on International and Cross-Cultural Education (SPICE), Stanford U.

Board Member, Silk Road House, Berkeley

Board Member, Silk Road Foundation

Guest Curator: Survey of Chinese Art. Bowers Museum, Santa Ana, CA. 2007

Guest Curator: Terra Cotta Warriors: Guardians of the First Emperor. Bowers Museum, Santa Ana, CA. 2008

Guest Curator, Exhibit of the Qin Pottery Army, National Geographic. 2009

Advisor, Treasures of the Earth Project, The Children's Museum of Indianapolis

PUBLICATIONS

Articles

"Yü-wen Shu: An Opportunist of the Sui Dynasty," *Phi Theta Journal* 1 (1950): 102-37.

"A Possible Occurrence of Altaic *Idughan*," *Central Asiatic Journal* 2 (1956): 12-20.

"The Buddhist Persecution of 572," *The China Buddhism* 1:5 (1956): 2-3.

"A Note on *hsien* 'Zoroastrianism'," *Oriens* 10 (1957): 284-88.

"The *sa-pao* problem re-examined," *Journal of the American Oriental Society* 82 (1962): 335-46.

"China and the West," *New World* 1:9 (1962): 17-19 (in Chinese)

"*Chiang-yu/Chiang-tso*: A Problem in Historical Geography," *Journal of the American Oriental Society* 82 (1962): 376-83.

"A Note on Imperial Academies of the Northern Dynasties," *Proceedings of the International Association of Historians of Asia* (1962) 57-69.

Xing Bingyan 邢丙彦, translator, "Beichaode diguo xueshu jigou 北朝的帝国学术机构.," in *Haiwai Zhongguoxue* 海外中国学 (Shanghai: Shanghai shifan daxue, 1986), pp. 132-141.

"Yen Chih-t'ui, A Buddho-Confucian," in *Confucian Personalities*, ed. by Arthur Wright and Denis Twitchett (Stanford U. Press, 1962): 44-64.

"Instructions for the Grave: The Case of Yan Zhitui," *Cahiers d'Extrême-Asie* 8 (1965): 41-58.

"The *Yüan-hun Chih* (Accounts of Ghosts with Grievances): A Sixth Century Collection of Stories," in *Wen-lin*, ed. by Tse-tsung Chou (U. of Wisconsin Press, 1968): 211-28.

Chou Chao-ming 周昭明, translator, "Yuan-hun-chih k'ao 冤魂志考," in *Chung-hua wen-hua fu-hsing yueh-k'an* 中華文化復興月刊 151 (1980.10): 53-63.

- "The *Yeh-hou chia-chuan* and its Use as a Historiographical Source," *Harvard Journal of Asiatic Studies* 34 (1974): 221-47.
- "Elite Lineages and the T'o-pa Accommodation: A Study of the Edict of 495," *Journal of the Economic and Social History of the Orient*, 19 (1975): 61-88.
- "The Bestowal of Surnames under the Western Wei/Northern Chou: A Case of Counter-Acculturation," *T'oung Pao* 63 (1977): 137-77.
- "A Study of Early Chinese Armor," *Artibus Asiae* 43.1-2 (1981-82): 5-56.
Reprinted in Peter Lorge, ed., *Warfare in China to 1600* (Hants: Ashgate Publishing Ltd, 2005): 83-144.
- "The Stirrup and its Effect on Chinese History," *Ars Orientalia* 16 (1986) 33-56.
Reprinted in Peter Lorge, ed., *Warfare in China to 1600* (Hants: Ashgate Publishing Ltd, 2005): 185-208.
- "The Role of the Military in the Western Wei/Northern Chou State," in *State and Society in Early Medieval China*, 1990, pp. 331-367.
- Yang Cuiwei, translator, "Xi Wei Bei Zhou shiqi junrenjituan suoqi di zuoyong," in Yan Chunde, *Hanxue yanjiu* 5 (2000), pp. 348-66.
- "Chinese Beliefs in the Afterworld," in *Quest for Eternity*, Los Angeles County Museum of Art, 1987, pp. 1-15.
Danish translation included in *Menneskar og Guder: Nye fund fra det gamle Kina* (Humblebaek: Louisiana Museum for moderne kunst, 1997).
- "A New Look at the Xianbei and Their Impact on Chinese Culture," in *Ancient Mortuary Traditions of China*, Los Angeles County Museum of Art, 1991, pp. 40-59.
- "Chinese and Jews: Perceptions and Similarities," *Emunah* 41.2 (Spring/Summer 1993): 6-8.
- "Six Dynasties Tomb Figurines: A Typological Survey and Analysis," in Tsang Cheng-hwa 臧振華, ed., *Integrated Studies of Chinese Archaeology and Historiography*, Symposium Series of the Institute of History and Philology, Academia Sinica, Number 4 (Taipei, 1997), vol. 2, pp. 961-981.

- "Lun Liuchao shidai di kaoshi zhidu" 論六朝时代的考试制度 [A Note on Examination Systems During the Six Dynasties], Yang Fuxue 楊富學, transl., in *Dunhuangxue guoji yanjiuhui wenji* [Proceedings of the 1994 Conference on Dunhuang Studies], *Zongjiao wenshi juan*, vol. 2 Dunhuang, 2000): 179-86.
- "Comments on Chapter Nine of the *Yanshi jiaxun*: Literary Compositions," in *Wei Jin Nanbeichao wenxue lunji* (Nanjing: Nanjing University, 1997): 599-613 (in Chinese).
- "A Sixth-century Father's Advice on Literature: Comments on Chapter Nine of the *Yanshi jiaxun*," *Asia Major*, 13.1 (2002): 65-82.
- "Tombs Revisited: Another Look at 86TAM384-391," in Valerie Hansen, ed., Conference Proceedings, Third Silk Road Conference at Yale University (July 10-12, 1998), vol. 2, pp. 174-201.
- "Images of Dynasty: China's Golden Age of Archaeology," *Archaeology*, March-April, 1999, pp. 59-69.
- "A Political Perspective on Archaeological Finds," *Orientalism*, September, 1999, pp. 75-81.
- "Buddhist Sculpture from Monastery Sites at Qingzhou, Shandong Province," in *The Golden Age of Chinese Archaeology: Celebrated Discoveries from the People's Republic of China* Xiaoneng Yang, ed., National Gallery of Art, Washington, 1999, pp. 438-9.
- "A Brief Survey of Defensive Armor across Asia," *Journal of East Asian Archaeology* 2.3-4 (2000): 1-22.
- "Armor in China before the Tang Dynasty," *Journal of East Asian Archaeology* 2.3-4 (2000): 23-59.
- "Civil Service Examinations: Evidence from the Northwest," in Scott Pearce, Audrey Spiro, and Patricia Ebrey, eds., *Culture and Power in the Reconstitution of the Chinese Realm, 200-600* (Cambridge: Harvard University Press, 2001): 99-121.
- "Liaoning in the Six Dynasties Period: Aspects of its Cultural Heritage."

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"Wei Tan and the Historiography of the *Wei shu*." In Paul W. Kroll and David R. Knechtges, eds., *Studies in Early Medieval Chinese Literature and Cultural History, in Honor of Donald Holzman and Richard B. Mather* (Provo, Utah: T'ang Studies Society, 2000): 399-466.

"Developments in Funerary Practices in the Six Dynasties Period: The *Duisuguan* 堆塑罐 or "Figured jar" as a Case in Point," in Wu Hung, ed., *Between Han and Tang: Cultural and Artistic Interaction in a Transformative Period* (Beijing: Wenwu Chubanshe, 2001): 509-42.

"Encounters with Nomads," in Annette L. Juliano and Judith A. Lerner, eds., *Monks and Merchants: Silk Road Treasures from Northwest China. Gansu and Ningxia, 4th-7th Century* (New York: Harry N. Abrams, Inc., with The Asia Society, 2001): 55-66.

"Inventory Lists of Tomb 86TAM386 at Astana, Turfan," *Journal of East Asian Archaeology* 4.1-4 (2002): 183-200.

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"The Sword-bearer Lamp," entry in the catalog for the exhibition, *Recarving China's Past: The Art, Archaeology, and Architecture of the Wu Family Shrines* (Princeton University Art Museum. New Haven: Yale University Press, 2005): 231-37.

"Caravans and Caravan Leaders in Palmyra," in Etienne de la Vaissiere and Eric Trombert, eds., *Les Sogdiens en Chine, Etudes Thematiques 17* (Paris: Ecole française d'Extrême-Orient, 2005): 195-206.
Chinese translation by Wang Jiajia 王嘉佳 in *Suteren zai Zhongguo: Lishi, kaogu, yuyan di xin fansuo* 粟特人在中國: 歷史, 考古, 語言的新探索, *Faguo*

Hanxue 法國漢學 10 (2005): 88-100.

“Further Thoughts on *Sārthavâha*,” *Tulufanxue yanjiu: Dierjie Tulufanxue guoji xueshu yantaohui lunwenji* 吐魯番學研究：第二屆吐魯番學國際學術研討會論文集 (Shanghai: Shanghai chubanshe, 2006): 203-10.

“Observations Concerning the Tomb of Master Shi,” *Bulletin of the Asia Institute* vol. 17 (2007): 105-115.

Chinese translation by Shi Junjie 师俊杰 in *Xinxi yu cankao* 信息与参考 13 (2010): 212-15.

“Lighting in the Six Dynasties Period,” *Early Medieval China* 13-14, part 1 (2007): 1-32.

Text of catalogue, *Terra Cotta Warriors: Guardian of China's First Emperor*. Santa Ana: Bowers Museum, 2008.

“The Tomb of the Master Shi: Insights into the Life of a *Sabao*,” *The Silk Road* 7 (2009): 42-50.

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Entry, "The Historical Background of the Eastern Wei and Northern Qi States," in *Echoes of the Past; The Buddhist Cave Temples at Xiangtangshan*, University of Chicago, 2010. Pp. 79-91.

Entry, “Historiography of the Six Dynasties Period (220-581),” in Andrew Feldherr and Grant Hardy, eds., *The Oxford History of Historical Writing*, vol. 1, pp. 509-34. Oxford: Oxford University Press, 2011.

Entry, "A Northern Qi Layman's Views of Buddhism," in a symposium volume on Qingzhou sculptures, University of Sydney, Australia. Forthcoming.

“The Significance of the Qin Pottery Army in the History of Warfare in Early China,” prepared for a symposium volume, *The Qin Pottery Army*, University of Sydney, Australia.

Preface, in Vol. 2: Qin, Han, Wei Jin, and the Northern and Southern Dynasties (221 B.C.E.– 581 C.E.), *Peking University History of Chinese Civilization*, Cambridge University Press and Peking University Press, 2012.

Essay, "The Qin Army and Its Antecedents," for *China's Terracotta Warriors: The First Emperor's Legacy*. Exhibition catalog, Elisabeth Sovik, editor, Minneapolis Institute of Arts, 2012. Pp. 147-54.

- “The Xiucan Question of the Northern Qi: The Case of Fan Xun 樊遜.” In Ma Baoji 马宝记, chief editor, *Wei Jin wenhua yanjiu* 魏晋文化研究, pp. 146-66. Zhengzhou: Henan renmin chubanshe, 2012.
- Entries in *Early Medieval China: A Sourcebook*. Wendy Swartz, Robert Ford Campany, Yang Lu, and Jessey Choo, ed. New York: Columbia University Press, 2013.
- pp. 32-59: The Disputation at Pengcheng (451)
- pp. 433-46: Everyday Life
- pp. 494-509: Yan Zhitui’s *Family Instructions of Mr. Yan*
- “Light after Dark: Artificial illumination in the Six Dynasties Period.” In Sue Wiles, et al., ed. *Willow Catkins. Festschrift for Dr Lily Xiao Hong Lee on the occasion of her 75th birthday* (Sydney: The Oriental Society of Australia, Inc., 2014): 285-322.
- “The Sogdian Experience in China: Sinicization or Accommodation,” in Dorothy Wong and Gustav Heldt, eds., *China and Beyond in the Medieval Period: Cultural Crossings and Inter-Regional Connections* (New Delhi: Manohar Publishers, 2014): 117-34.
- “On the name *Shishuo Xinyu*,” *Early Medieval China* 7-8 (2014): 7-8.
- “Sute renmin Shijun mantan” 粟特人史君漫谈, *Beifang minzu kaogu* 北方民族考古 3. 207-17 (Beijing: Kexue chubanshe, 2016). Translation by Chen Haowen 陳昊雯.
- “The Afterlife.” In *Six Dynasties art from the Norman A. Kurland collection; Part Two* (London: Eskenazi, 2018) 15-29.
- “The Sogdian Belt and Road,” in *Lotus Leaves*, Fall, 2018, pp. 17-26.
- “A journey of a thousand miles begins with the first step 千里之行，始於足下，” in Vivian Ling, ed., *The Field of Chinese Language Education in the U.S.: A Retrospective of the 20th Century* 二十世紀美國中文教學界的回顧 (London: Routledge, 2018), 304-12.
- “Consideration of Some Aspects of the Sogdian Experience in China: From *sabo* to *s’rtp’w*,” in Shing Müller, Thomas O. Höllmann, and Sonja Filip, eds., *Early Medieval North China: Archaeological and Textual Evidence* (Wiesbaden: Harrassowitz, 2019), 301-38.
- “Chapter 9. Eastern Wei--Northern Qi,” in Albert Dien and Keith Knapp, eds., *The Cambridge History of China*. Vol. 2: The Six Dynasties 220-581 (London: Cambridge University Press, 2019), 184-209.

"Chapter 10. Western Wei--Northern Zhou," in Albert Dien and Keith Knapp, eds., *The Cambridge History of China*. Vol. 2: The Six Dynasties 220-581 (London: Cambridge University Press, 2019), 210-36.

Edited Volumes

Chinese Archaeological Abstracts, 1972-1981. The Institute of Archaeology, The University of California, Los Angeles, 1985. 3 volumes.

State and Society in Early Medieval China. Hong Kong University Press and Stanford University Press, 1990.

Introduction translated into Chinese by Zhang Lin 張琳 and Mou Fasong 牟發松, in *Wei Jin Nanbeichao Sui Tang shi ziliao* 魏晉南北朝隋唐史資料 No. 14 (1996): 182-98.

Co-editor. "*Early Medieval Chinese Texts: A Bibliographic Guide*." Chinese Research Monograph 71. Berkeley: Institute of East Asian Studies, 2015.

Co-editor. *The Cambridge History of China*. Vol. 2: The Six Dynasties 220-581. London: Cambridge University Press, 2019.

Book

Six Dynasties Civilization. Early Chinese Civilization Series. New Haven: Yale University Press, 2007.

Chinese translation by Li Meitian 李梅田, *Liuchao wenmin* 六朝文明. Shanghai: Shehui kexue wenxian cubanshe, 2013; 2019.

Reports, Notes, etc.

"Report on Language Training in Taiwan," *Chinese Language Teachers Association Newsletter* 11 (1965) 4-17.

"Ancient Chinese Armor: A review and critical comments on Yang Hong, Chung-kuo ku-tai de chia-chou (Added Engl. title: Studies on the Ancient Chinese Armour),' *K'ao-ku hsueh-pao* 1976.1: 19-46; 1976.2:59-96," in *Ancient China* 3 (1977) 105-107.

"Report on an Epigraphical Survey," *Nan-Pei-Ch'ao Studies* 3 (1979) 1-9.

Research Note: "Warring States Armor and Pit Three at Qin Shihuangdi's Tomb," *Early China* 5 (1979-80) 46-47.

- “The Ch'in Pottery Army.” *Society for Asian Archaeology Newsletter*, Winter, 1980.
- Conference Report on China's Past Unearthed: Archaeological Discoveries and the History of the Early Imperial Period. *Nan-Pei-Ch'ao Studies* 4 (1980) 2-16.
- Conference Report on the Nature of Society and State in Early Medieval China. *Nan-Pei-Ch'ao Studies* 4 (1980) 17-56.
- "Six Dynasties Bibliography, 1970-1980," *Nan-Pei-Ch'ao Studies* 4 (1980): 111-119. Reprinted in *Early Medieval China* 5 (1999): 110-18.
- "The Qin Pottery Figure Pits: Bibliographical Survey," *Early China* 7 (1981-82): 74-78.
- Entry: "Yen Chih-t'ui," in *The Indiana Companion to Traditional Chinese Literature*, W.H. Nienhauser, ed. (Bloomington: Indiana University Press, 1986): 923-25.
- "Survey of Chinese Language Teaching 1983-1984," *Journal of the Chinese Language Teachers Association* 20.1 (1985): 99-108.
- "Provisional Chinese Descriptions -- Culture," *Journal of the Chinese Language Teachers Association* 20.1 (1985): 79-83.
- Entry: "Arms and Armour in China," in Jane Turner, ed., *The Dictionary of Art* (London: Macmillan Publishers, 1996): vol. 7, pp. 55-58.
- "Beyond the Floating World: The Memorial Prints of Kabuki Actors," in *Notes from the President, Ukiyo-e Society of America, Inc.*, September, 1989, pp. 1-7.
- “Terra Cotta Warriors: Guardians of China’s First Emperor,” *Veranda* May-June 2008, pp. 134-44.
- “A Silent Army: The Terra Cotta Warriors have guarded the tomb of China’s first emperor for 2,200 years,” *National Geographic Traveler*, 26.7 (October, 2009): 106.
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Entry: "A Journey of a Thousand Miles Begins with the First Step," in Vivian Ling, ed., *The Field of Chinese Language Education in the U.S.: A Retrospective of the 20th Century* (London: Taylor & Francis/Routledge, 2018): pps.

Texts and Glossaries

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Glossary for the Shui-hu chuan. Inter-University Program for Chinese Language Studies, 1964. 444 pp.

Translation

Chou shu 11: Yü-wen Hu. Chinese Dynastic Histories Translations, No. 9 (Berkeley: University of California Press, 1962): 165 pp.

The Biography of Yen Chih-t'ui (Pei Ch'i shu 45). *Würzburger Sino-Japonica*, vol. 6, 1976. 184 pp.

"First Report on the Exploratory Excavation of the Ch'in Pit of Pottery Figures at Lin-t'ung hsien," from *Wenwu*, 1975.11, 1-18, *Chinese Sociology and Anthropology* 10:2 (1977-78): 3-50.

"Excavation of the Ch'in Dynasty Pit Containing Pottery Figures of Warriors and Horses at Lin-t'ung, Shensi Province," from *Wenwu*, 1978.5, pp. 1-19, *Chinese Studies in Archaeology*, 1.1 (1979): 8-55.

"Excavation and Restoration of Leather Armor and Helmets from Tomb 1, Leigudun, Sui Xian, Hubei," from *Kaogu* 1979.6, 542-53, *Chinese Studies in Archaeology*, Winter, 1979-80: 46-73.

"House Instructions of Mr. Yan (Yanshi Jiaxun)," in Wm. Theodore de Bary and Irene Bloom, compilers, *Sources of Chinese Tradition* (New York: Columbia University Press, 1999, second edition): 541-46.

"The Disputation at Pengcheng: Accounts from the *Wei shu* and the *Song shu*," in Wendy Swartz et al., *Early Medieval China: A Sourcebook* (New York: Columbia University Press, 2014), pp. 32-59.

“Custom and Society: *The Family Instructions of Mr. Yan*,” in Wendy Swartz et al., *Early Medieval China: A Sourcebook* (New York: Columbia University Press, 2014): 494-510.

Contributed Paper

“Notes on a Taiwanese (Chinese) Dictionary,” *Proceedings of the Tenth Pacific Science Congress, Honolulu, 1961*, (Bishop Museum Press, 1963), p. 82 (listed by title only).

Reviews

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Honors

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